

## Lecture 5 First-Order Theories II

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### Last Time

- ▶ First-order theories
- ▶ Theory of equality
- ▶ Arithmetic over integers and natural numbers
  - ▶ Peano arithmetic
    - ▶ Undecidable
  - ▶ Presburger arithmetic
    - ▶ No multiplication between two variables
    - ▶ Decidable
  - ▶ Theory of integers
    - ▶ Same expressiveness as Presburger arithmetic

## This Time

- ▶ Theory of reals
- ▶ Theory of rationals
- ▶ Theory of arrays
- ▶ Exercises with SMT solver Z3
- ▶ Homework 2

## Theory of Reals $T_{\mathbb{R}}$ and Rationals $T_{\mathbb{Q}}$

$\Sigma_{\mathbb{R}} : \{0, 1, +, -, *, =, \geq\}$       with multiplication

$\Sigma_{\mathbb{Q}} : \{0, 1, +, -, =, \geq\}$       without multiplication

## Decidability of $T_{\mathbb{R}}$ and $T_{\mathbb{Q}}$

- ▶ Both are decidable
  - ▶ High time complexity
- ▶ Quantifier-free fragment of  $T_{\mathbb{Q}}$  is efficiently decidable

## Theory of Arrays $T_A$

$\Sigma_A : \{select, store, =\}$

where

- ▶  $select(a, i)$  is a binary function:
  - ▶ read array  $a$  at index  $i$
- ▶  $store(a, i, v)$  is a ternary function:
  - ▶ write value  $v$  to index  $i$  of array  $a$

## Axioms of $T_A$

1.  $\forall a, i, j. i = j \rightarrow \text{select}(a, i) = \text{select}(a, j)$   
(array congruence)
2.  $\forall a, v, i, j. i = j \rightarrow \text{select}(\text{store}(a, i, v), j) = v$   
(select-store 1)
3.  $\forall a, v, i, j. i \neq j \rightarrow \text{select}(\text{store}(a, i, v), j) = \text{select}(a, j)$   
(select-store 2)

## Note about $T_A$

- ▶ Equality (=) is only defined for array elements...
  - ▶ Example:  
 $\text{select}(a, i) = e \rightarrow \forall j. \text{select}(\text{store}(a, i, e), j) = \text{select}(a, j)$   
 is  $T_A$ -valid
- ▶ ...and not for whole arrays
  - ▶ Example:  
 $\text{select}(a, i) = e \rightarrow \text{store}(a, i, e) = a$   
 is not  $T_A$ -valid

## Decidability of $T_A$

- ▶  $T_A$  is undecidable
- ▶ Quantifier-free fragment of  $T_A$  is decidable

## Theory of Arrays with Extensionality $T_A^=$

- ▶ Signature and axioms of  $T_A^=$  are the same as  $T_A$ , with one additional axiom:

$$\forall a, b. (\forall i. \text{select}(a, i) = \text{select}(b, i)) \leftrightarrow a = b$$

(extensionality)

- ▶  $T_A^=$ -valid example  
 $\text{select}(a, i) = e \rightarrow \text{store}(a, i, e) = a$

## Decidability of $T_A^=$

- ▶  $T_A^=$  is undecidable
- ▶ Quantifier-free fragment of  $T_A^=$  is decidable

## Summary of Decidability Results

	Theory	Quantifiers Decidable	QFF Decidable
$T_E$	Equality	NO	YES
$T_{PA}$	Peano Arithmetic	NO	NO
$T_{\mathbb{N}}$	Presburger Arithmetic	YES	YES
$T_{\mathbb{Z}}$	Linear Integer Arithmetic	YES	YES
$T_{\mathbb{R}}$	Real Arithmetic	YES	YES
$T_{\mathbb{Q}}$	Linear Rationals	YES	YES
$T_A$	Arrays	NO	YES

## Summary of Complexity Results

Theory		Quantifiers	QF Conjunctive
PL	Propositional Logic	NP-complete	$O(n)$
$T_E$	Equality	–	$O(n \log n)$
$T_N$	Presburger Arithmetic	$O(2^{2^{2^{kn}}})$	NP-complete
$T_Z$	Linear Integer Arithmetic	$O(2^{2^{2^{kn}}})$	NP-complete
$T_{\mathbb{R}}$	Real Arithmetic	$O(2^{2^{kn}})$	$O(2^{2^{kn}})$
$T_{\mathbb{Q}}$	Linear Rationals	$O(2^{2^{kn}})$	PTIME
$T_A$	Arrays	–	NP-complete

$n$  – input formula size;  $k$  – some positive integer

## Z3 SMT Solver

- ▶ <http://rise4fun.com/z3/>
- ▶ Input format is an extension of SMT-LIB standard
- ▶ **Commands**
  - ▶ `declare-const` – declare a constant of a given type
  - ▶ `declare-fun` – declare a function of a given type
  - ▶ `assert` – add a formula to Z3's internal stack
  - ▶ `check-sat` – determine if formulas currently on stack are satisfiable
  - ▶ `get-model` – retrieve an interpretation
  - ▶ `exit`

### Linear Integer Arith. Example 1

$$x \leq y \wedge z = x + 1 \rightarrow z \leq y$$

### Linear Integer Arith. Example 2

$$x \leq y \wedge z = x - 1 \rightarrow z \leq y$$



### Linear Integer Arith. Example 3

$$1 \leq x \wedge x + y \leq 3 \wedge 1 \leq y \rightarrow x = 1 \vee x = 2$$

### Dog, Cat, and Mouse Puzzle (from Z3 page)

- ▶ Puzzle
  - ▶ Spend exactly \$100 and buy exactly 100 animals.
  - ▶ Dogs cost \$15, cats cost \$1, and mice cost 25 cents each.
  - ▶ You have to buy at least one of each.
  - ▶ How many of each should you buy?
- ▶ Use linear integer arithmetic

## Scheduling Example

	Machine 1	Machine 2
Job 1	2	1
Job 2	3	1
Job 3	2	3

- ▶ Table gives time units required to process Job x on Machine y
- ▶ For a job, complete a phase on Machine 1 before starting the next on Machine 2
- ▶ Find using Z3 whether jobs can be scheduled in T time units
  - ▶ Try  $T=6$ ,  $T=7$ ,  $T=8$